



Ref: FOIA Reference 2023/24-242

Date: 2<sup>nd</sup> October 2023

Email [foi@uhnm.nhs.uk](mailto:foi@uhnm.nhs.uk)

Dear

I am writing to acknowledge receipt of your email dated 13<sup>th</sup> July 2023 requesting information under the Freedom of Information Act (2000) regarding Endobronchial Valves

On the same day we contacted you via email to inform you that we would be closing this request as the addressee was not UHNM

You replied via email with:

*'I will resubmit the request for your consideration. The inclusion of [REDACTED] was an error.'*

As of 1<sup>st</sup> November 2014 University Hospitals of North Midlands NHS Trust (UHNM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

**Q1 I am writing to you under the Freedom of Information (FOI) Act 2000, to request the following information regarding Endobronchial Valves for the treatment of Emphysema; a type of chronic obstructive pulmonary disease (COPD), and the following information regarding Central Airway Tumour Management.**

**Endobronchial Valves**

**Question 1**

**Between 1 April 2022 to 31 March 2023, how many procedures did the Trust perform, which involved the use of an Endobronchial Valve?**

<b>Number of procedures (n)</b>

A1 Six (6)

**Q2 Between 1 April 2022 to 31 March 2023, how many Lungs Volume Reduction Surgery and Air Leak Management procedures did the Trust perform, which involved the use of an Endobronchial Valve?**

<b>Number of Lung Volume Reduction</b>	<b>Number of Air Leak Management</b>
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Surgery (LVRS) procedures (n)	procedures (n)

**\*The sum of LVRS and Air Leak Management procedures, is expected to be equivalent to the number of procedures the Trust performed in which an Endobronchial Valve was used between 1 April 2022 to 31 March 2023.**

A2 We are unable to provide the information you require in the requested format as to release this data could lead to the identification of the person(s) involved due to the low numbers involved, and would breach the Trusts obligations under Data Protection Act 2018. Accordingly, this aspect of your request is exempt from disclosure under the terms of Section 40(2) of the FOI Act. *Personal information*. However as the Trust is committed to openness and transparency we can band the numbers as being <5  
*This exemption is an absolute exemption and therefore no consideration of the public interest test is needed. See below:*

Number of Lung Volume Reduction Surgery (LVRS) procedures (n)	Number of Air Leak Management procedures (n)
<5	0

Q3 **Between 1 April 2022 to 31 March 2023, how many Endobronchial Valves has the Trust used?**

Number of Endobronchial Valves used (n)

A3 Thirty two (32)

Q4 **The Pulmonx Zephyr® Endobronchial Valve System is an implantable bronchial valve for the bronchoscopic treatment of adult patients with hyperinflation.**

There are 4 different types of Pulmonx Zephyr® Endobronchial Valves.

**Between 1 April 2022 to 31 March 2023, how many Pulmonx Zephyr® Endobronchial Valves did the Trust use?**

Please provide your response under the relevant Pulmonx Zephyr® Endobronchial Valve heading in the table below.

**Example: 44 Zephyr 4.0 Endobronchial Valve (Zephyr 4.0 EBV) used between 1 April 2022 to 31 March 2023**

Type of Pulmonx Zephyr® Endobronchial Valve System			
Zephyr 4.0 Endobronchial Valve (Zephyr)	Zephyr 4.0-LP Endobronchial Valve (Zephyr)	Zephyr 5.5 Endobronchial Valve (Zephyr)	Zephyr 5.5-LP Endobronchial Valve (Zephyr)

4.0 EBV)	4.0-LP EBV)	5.5 EBV)	5.5-LP EBV)

A4 We are unable to provide the information you require in the requested format as to release this data could lead to the identification of the person(s) involved due to the low numbers involved, and would breach the Trusts obligations under Data Protection Act 2018. Accordingly, this aspect of your request is exempt from disclosure under the terms of Section 40(2) of the FOI Act. *Personal information*. However as the Trust is committed to openness and transparency we can band the numbers as being <5  
*This exemption is an absolute exemption and therefore no consideration of the public interest test is needed. See below:*

Type of Pulmonx Zephyr® Endobronchial Valve System			
Zephyr 4.0 Endobronchial Valve (Zephyr 4.0 EBV)	Zephyr 4.0-LP Endobronchial Valve (Zephyr 4.0-LP EBV)	Zephyr 5.5 Endobronchial Valve (Zephyr 5.5 EBV)	Zephyr 5.5-LP Endobronchial Valve (Zephyr 5.5-LP EBV)
15	8	<5	5

**Q5 Does the Trust own a Chartis System® (Pulmonx Inc., Redwood City, CA, USA)?**

Yes	No

A5 No

**Q6 Between 1 April 2022 to 31 March 2023, how many collateral ventilation measurements were performed using the Chartis System® (Pulmonx Inc., Redwood City, CA, USA)?**

Number of collateral ventilation measurements (N)

A6 Seven (7)

**Q7 Central Airway Tumour Management**

**Question 1**

**Between 1 April 2022 to 31 March 2023, how many trachea-bronchial stents did the Trust place via a flexible bronchoscope?**

Number of trachea-bronchial stents (N)

A7 We are unable to provide the information you require in the requested format as to release this data could lead to the identification of the person(s) involved due to the low numbers involved, and would breach the Trusts obligations under Data Protection Act 2018. Accordingly, this aspect of your request is exempt from disclosure under the terms of Section 40(2) of the FOI

Act. *Personal information*. However as the Trust is committed to openness and transparency we can band the numbers as being <5

*This exemption is an absolute exemption and therefore no consideration of the public interest test is needed.*

**Q8 A list of techniques has been provided in the table below (i.e., cryotherapy, diathermy/ electrocautery. etc.)**

**Between 1 April 2022 to 31 March 2023, how many of the following techniques were performed (in the Trust) to debulk central airway tumours?**

*(e.g., Cryotherapy was performed 50 times to debulk central airway tumours).*

Number of techniques performed (n)				
Cryotherapy	Diathermy/ Electrocautery	Argon plasma coagulation (APC)	Laser	Other (please specify)

A8 We are unable to provide the information you require in the requested format as to release this data could lead to the identification of the person(s) involved due to the low numbers involved, and would breach the Trusts obligations under Data Protection Act 2018. Accordingly, this aspect of your request is exempt from disclosure under the terms of Section 40(2) of the FOI Act. *Personal information*. However as the Trust is committed to openness and transparency we can band the numbers as being <5

*This exemption is an absolute exemption and therefore no consideration of the public interest test is needed. See below:*

Number of techniques performed (n)				
Cryotherapy	Diathermy/ Electrocautery	Argon plasma coagulation (APC)	Laser	Other (please specify)
0	0	9	0	<5x balloon dilatation  <5x foreign body removal

\*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

***UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.***

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An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: <http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx>

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via [www.ico.org.uk](http://www.ico.org.uk).

If following review of the responses I can be of any further assistance please contact my secretary on 01782 671612.

Yours,



**Rachel Montinaro**  
Data Security and Protection Manager - Records