



**University Hospitals
of North Midlands**
NHS Trust

Royal Stoke University Hospital

Quality, Safety and Compliance Department

Newcastle Road

Stoke-on-Trent

Staffordshire

ST4 6QG

Ref: FOIA Reference 2018/19-663

Date: 28th February 2019

Email foi@uhnm.nhs.uk

Dear

I am writing in response to your email dated 7th February 2019 requesting information under the Freedom of Information Act (2000) regarding financial penalties.

As of 1st November 2014 University Hospitals of North Midlands NHS Trust (UHM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

Q1 Since 2011, NHS Trusts in England are subjected to financial penalties for higher than expected 30-day hospital readmission rates. However, information on trust-specific financial penalties is not currently available in the public domain. I hereby request an annual breakdown of all financial penalties imposed on your Trust, starting with financial year 2011/12 and ranging to financial year 2017/18. Specifically, I am requesting the following items listed for each financial year (2011/12; 2012/13; 2013/14; 2014/15; 2015/16; 2016/17; 2017/18):

Total amount withheld (in £) in a respective financial year (i.e. the amount of the penalty)

A1 Please refer to the table below:

year	amount	Hospital
11/12	-£6,070,798	RSUH
12/13	-£3,620,000	RSUH
13/14	-£1,633,757	RSUH
14/15	-£1,092,335	Both
15/16	-£1,243,489	Both
16/17	-£2,329,232	Both
17/18	-£2,461,276	Both

Q2 Since 2011, NHS Trusts in England are subjected to financial penalties for higher than expected 30-day hospital readmission rates. However, information on trust-specific financial penalties is not currently available in the public domain. I hereby request an annual breakdown of all financial penalties imposed on your Trust, starting with financial year 2011/12 and ranging to financial year 2017/18. Specifically, I am requesting the following items listed for each financial year (2011/12; 2012/13; 2013/14; 2014/15; 2015/16; 2016/17; 2017/18):

Total amount of readmission costs reimbursed in a respective financial year



A2 Information not held by UHNM, information may be available by contacting the commissioners at the following link: MLCSU.FOITeam@nhs.net

*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

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This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via www.ico.org.uk.

If following review of the responses I can be of any further assistance please contact my secretary on 01782 676474.

Yours,



Leah Carlisle
Deputy Head of Quality, Safety & Compliance