



Ref: FOIA Reference 2018/19-052

Royal Stoke University Hospital
Quality, Safety and Compliance Department
Newcastle Road
Stoke-on-Trent
Staffordshire
ST4 6QG

Date: 24th May 2018

Tel: 01782 676474
Email foi@uhn.nhs.uk

Dear

I am writing in response to your email dated 24th April 2018 (received into our office 25th April) requesting information under the Freedom of Information Act (2000) regarding serious incidents.

As of 1st November 2014 University Hospitals of North Midlands NHS Trust (UHNM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

Q1 How many Serious Incidents involving breaches of patient safety, as defined by the Serious Incident Framework, were recorded by your Trust in each of the last five financial years:

(i.e. 2012-13, 2013-14, 2015-16, 2016-17 and as many months of 2017-18 as are available?)

A1 Please see below, however note that the figures are based on actual incident date and not date recorded on STEIS as SI.

	Total
2014/15	165
2015/16	126
2016/17	156
2017/18	201

Q2 Please specify -- for each of those five years -- how many of these Serious Incidents involved:

- a) death
- b) serious harm
- c) moderate harm
- d) low harm
- e) no harm

A2 We are unable to provide the information you require in the requested format as to release this data could lead to the identification of the person(s) involved due to the low numbers involved, and would breach the Trusts obligations under the Data Protection Act 1998. Accordingly, this aspect of your request is exempt from disclosure under the terms of Section 40(2) of the Act. *Personal information*, however we can band some of the numbers* and have provided this below, please note that the Level harm is based on harm at time of incident report. The figures are based on actual incident date and not date recorded on STEIS as SI

	Near Miss	No harm	Low Harm	Moderate Harm	Severe Harm	Death
2014/15	0	29	47	66	21	2
2015/16	0	13	34	60	15	4
2016/17	less than 5*	18	38	80	19	0
2017/18	less than 5*	11	60	93	23	10

Q3 For each death,
a) state on which date it occurred
b) briefly explain what led to the death.

FYI, we are asking all Trusts in England for the same information

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*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.

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This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via www.ico.org.uk.

If following review of the responses I can be of any further assistance please contact my secretary on 01782 676474.

Yours,

A handwritten signature in black ink, consisting of a large, loopy initial 'M' followed by a long horizontal stroke.

Mojgan Casillas
Interim Information Governance Manager